

#ITW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1 binder

Application Number

10/679,645

Filing Date

October 6, 2003

First Named Inventor

DOSHI, Praful

Art Unit

2873

Examiner Name

SUGARMAN, Scott J.

Attorney Docket Number

PD-00100.P.1.1.1.1

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):

1. One binder of references
2. Form 1449
3. Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

David R. Preston & Associates, APC

Signature

Printed name

David R. Preston

Date

May 26, 2005

Reg. No.

38,710

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

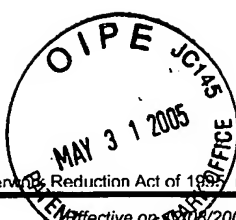
Reza Savari

Date

5-26-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).
Effective on 10/06/2004.**FEE TRANSMITTAL**
For FY 2005☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/679,645
Filing Date	October 6, 2003
First Named Inventor	DOSHI, Praful
Examiner Name	SUGARMAN, Scott J.
Art Unit	2873
Attorney Docket No.	PD-00100.P.1.1.1.1

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: 501321 Deposit Account Name: David R. Preston & Assoc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)****Multiple Dependent Claims**

- 20 or HP = x =

Fee (\$) **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Submission of IDS

\$180.00

SUBMITTED BY

Signature

Registration No.
(Attorney/Agent) 38,710

Telephone 858-724-0375

Name (Print/Type) David R. Preston

Date 5/26/05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Patent
Docket Number: PD-00100.P.1.1.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
)	
Doshi)	Examiner: Scott J. Sugarman
)	
Application No.: 10/679,645)	Art Unit: 2873
)	
Filed: October 6, 2003)	
)	
For: TINTED LENSES AND)	
METHODS OF MANUFACTURE)	
)	

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313

Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully submits this information disclosure statement for the above referenced U.S. Patent Application. This statement is filed after the First Office Action on the merits, and a fee of \$180.00 is enclosed herein.

Applicant respectfully includes a reference listing on the attached Form PTO 1449, a copy of which is included herewith

06/02/2005 EAREGAY1 00000007 10679645

01 FC:1806

180.00 0P

Please apply any charges not covered, or any credits, to **Deposit Account 501321** in the name of David R. Preston & Associates having **Customer Number 24232**.

Respectfully submitted,

Date: May 26, 2005

A handwritten signature in black ink, appearing to read 'David R. Preston', written over a horizontal line.

David R. Preston
Reg. No. 38,710

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phone: 858.724.0375
facsimile: 858.724.0384



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Docket Number: PD-00100.P.1.1.1.1	Application Number: 10/679,645
	Applicant: DOSHI, Praful	
	Filing Date: October 6, 2003	Group Art Unit: 2873

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLA SS	SUB- CLASS	FILING DATE IF APPROPRIATE
	P1	4,038,264	07-26-1997	Rostoker et al.			
	P2	4,266,232	05-05-1981	Juliana, Jr. et al.			
	P3	5,329,293	07-12-1994	Liker			
	P4	5,926,195	07-20-1999	Domhoff et al.			
	P5	6,284,161	09-4-2001	Thakrar et al.			
	P6	6,811,259	11-02-2004	Tucker			
	P7	6,880,932	04-19-2005	Doshi			
	P8	2001/0050753	12-13-2001	Tucker			
	P9	2003/0002011	01-02-2003	Streibig			
	P10	2003/0085934	05-08-2003	Tucker et al.			
	P11	2003/0119943	01-26-2003	Tucker et al.			
	P12	2003/0184710	10-02-2003	Tucker			
	P13	2005/0007549	01-13-2005	Tucker			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	F1	WO 03/040242 A2	05-15-2003	PCT				
	F2	WO 03/040242 A3	05-15-2003	PCT				

Examiner Signature		Date Considered	
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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							YES	NO
	F3	0 272 202 A2	06-22-1988	EPO				
	F4	0 272 202 A3	06-22-1988	EPO				
	F5	0 272 202 B1	06-22-1988	EPO				
	F6	0 482 836 A1	04-29-1992	EPO				
	F7	0 482 836 B1	03-15-1995	EPO				
	F8	0 482 837 A1	04-29-1992	EPO				
	F9	0 482 837 B1	03-08-1995	EPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
EXAMINER INITIALS		CITATION
	D1	Inkjet Technology and Product Development Strategies, Torrey Pines Research, 2000
	D2	Physical Parameters of Inks, OCP GmbH, 2003
	D3	History of Milestones of Inkjet Printing, Hewlett Packard

Examiner Signature		Date Considered	
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